

# Mental practice: neuroscientific support for a new approach

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# Mental practice: neuroscientific support for a new approach

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## Introduction

Mental practice techniques continue to be popular for performers in sport, exercise, dance and clinical settings. Typically, the techniques form part of a psychological skills training programme that supports physical or rehabilitative practice, the goal normally being to enhance or maintain performance of a skill or task. Mental practice techniques can take many forms and can focus on different aspects of an individual's mental profile. For example, while one athlete may feel the need to reduce pre-competition worry, others may need to improve attention and concentration skills or experience greater confidence prior to an important event. Applied sport psychology literature has always supported the inclusion of some form of mental practice regime in a performer's training programme.

One technique that persists in applied sport and exercise psychology is imagery (e.g. Morris et al 2005, Murphy et al 2008). Imagery has received considerable academic attention and, according to Short et al (2002), remains one of the most popular intervention techniques delivered by sport psychologists. It has many reported uses: visual rehearsal of a skill; skill learning; strategy development; enhancing confidence; and improving recovery from injury are just a few. Therefore, given the comprehensive research, the prevalence of its use and its perceived importance within the mental skills 'toolkit', it should be expected that there would be detailed and agreed procedures for the delivery of effective imagery interventions. Unfortunately, this does not seem to be the case. Callow & Hardy (2007) have recently provided a critical analysis of applied imagery research. In this, they report what is known, what might be known and what is not known about effective imagery interventions. The authors raise a number of important research questions from the review that suggest that there is still a considerable way to go before imagery can live up to its claim to be the central pillar of sport psychology interventions.

While many individuals attribute their performance to their structured mental practice regimes, a detailed understanding of what practice comprises during imagery remains very much an illusion. By its very nature, mental practice is covert and indefinite; the most detailed, prescriptive imagery programmes cannot predict what performers actually generate in their brains. It is not disputed that imagery and associated mental practice techniques offer benefit to performers through a number of

psychological and/or psychophysiological mechanisms. Theories that have received support include: Lang's (1977) bioinformational theory, Ahsen's (1984) triple code theory, Schmidt's (1982) attention-arousal set theory, Bandura's (1977a, b) self-efficacy/self-confidence theories, Paivio's (1985) motivational theory and, more recently, Jeannerod's (1994) simulation theories. As with many theoretical debates, there are similarities across these theories and, as you would expect, there is evidence that would support all the ideas. However, 'none of the theories... have sufficient research to support them as definitive theories of imagery functioning' (Morris et al 2005, p. 55). As a consequence of these ongoing debates, some authors have suggested that imagery cannot be explained easily by one theory alone and that progress will only be made through the integration of approaches. This idea has also been advocated by Murphy et al (2008). They suggest that imagery investigations should be guided by a new comprehensive model. We would certainly support such ventures but, given the well documented methodological and operational concerns that will always remain with covert techniques, maybe the time has come to use what we know, develop what we might know and explore what we don't know with a different, more overt approach to mental training.

It is our opinion that imagery and associated mental practice techniques will continue to be useful interventions for performers but that their effectiveness will always be compromised by the brain's ability to (re)create an experience that mimics a real experience. Further, the moderators and mediators of imagery effectiveness, highlighted by Callow & Hardy (2007), remain important aspects of research in this area. They include imagery perspective, movement agency, task type, practice conditions and timing. In addition, imager skill expertise, imaging ability, skill confidence and arousal state should also be considered (the reader is referred to Callow & Hardy (2007) for further consideration of some of these variables). Without a greater understanding and control of these and other variables, predictions and applications from the imagery research should continue to be made cautiously.

In this regard, we propose that the best elements of imagery research should be retained and integrated into a new working model of observation for mental practice. We will highlight some of our fundamental concerns with imagery as a sport psychology intervention and suggest that observation-based techniques may be better positioned to meet the goals that

imagery advocates. We will introduce neuroscientific research to provide an evidence base to support the development of our observation model.

## Analysis of imagery for mental practice interventions

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### Defining imagery and observation

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In order to develop the concept of observation as a valid technique that may operate alongside, or in some cases replace, conventional imagery-type interventions, it is important to define clearly what we mean by the two processes; this is not easy. Morris et al (2005) have highlighted the problems with trying to define the imagery process in a sporting context; they suggest that there is a lack of consistency in the features that constitute the process, stating 'the focus of each definition seems to vary depending on the purpose for which the imagery description is used' (Morris et al 2005, p. 14). Similar problems are evident in the more sparse definitions for observation (see McCullagh & Weiss 2001 p. 221 - 222). To reflect the neuroscientific focus of our paper and the underlying assumption in some theories that imagery and observation share at least some brain activity with the corresponding physical execution, it would seem appropriate to include reference to this within our working definitions.

Imagery, in the context of performance, may be considered as the neural activation of a brain representation/neural network involving primarily top-down sensorial, perceptual and affective characteristics that are normally under the conscious control of the imager and which may occur in the absence of perceptual afference functionally equivalent to the actual physical experience.

Holmes & Calmels 2008, p. 433

We contrast the definition of imagery with that of observation.

Observation, in the context of performance, may be considered as the neural activation of a brain representation/neural network involving primarily bottom-up sensorial, perceptual and affective characteristics, that are primarily under the subconscious control of the observer and which normally occur in the presence of perceptual afference functionally equivalent to the actual physical experience.

Holmes & Calmels 2008, p. 433



Imagery is, therefore, a top-down, knowledge-driven process whereas observation is a bottom up, percept-driven process. What is of interest here is how much these processes truly reflect the neural activity associated with overt behaviours, their ability to influence future behaviour, and how they can be used effectively in performance contexts.

Much of what follows in our discussions will relate to the terminology used in these definitions. We will provide evidence to support a distinction between the processes of imagery and observation in relation to the control afforded to the practitioner and the performer over some of the moderating variables identified above. In this regard, we will also propose that observation offers greater efficacy in terms of access to functional cortical and subcortical neural networks shared with overt behaviour. We do not propose that imagery and observation are in direct competition as interventions, as they too share, in part, similar brain sites. Common sense dictates that there will be times when one technique is more appropriate than another; for example, immediately prior to a performance, imagery may offer far greater flexibility of use than observation simply because the process does not require equipment (i.e. camera, screen). In contrast, during training sessions, observation delivered through portable digital media may be more effective. Therefore, we see their roles as separate although occasionally complementary if there is consideration of some important procedural concerns. Imagery and observation both have the potential to support permanent structural changes in the brain but it is the extent of the neuronal modulation offered by each approach that will be considered here.

Our investigation of brain substrates for observation will, at this stage, join the pre-reflective simulation camp and focus on shared circuits; that is, those neural networks that are common to both physical execution of an action and also the observation of the same action. These shared circuits are involved in our intuitive actions, sensations and emotions and in the perception of these behaviours in others. The concept of shared circuits may be a better term than functional equivalence for understanding the neuroscience of imagery and observation, since there is increasing evidence that neural overlap is inexact and incomplete. Given the variability in methods of delivery of the interventions, this 'sharedness' will also be variable. Functional equivalence implies a more comprehensive neuronal matching that cannot, at present, be substantiated theoretically or empirically.

The term should be restricted to the matching of behaviours and environments that attempt to achieve shared circuits.

## Some concerns with imagery

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If observation is to provide a valid alternative to imagery for performance training, the theoretical justification to explain its effectiveness must be strong and address the methodological concerns observed for performance imagery. In a recent paper, summarized in Figure 16.1, we suggest that there are a number of procedural problems when considering imagery as an intervention. These include:

- image generation, maintenance and transformation ability (i.e. can the performer actually 'create' an image and, if so, for how long, and how well are they able to manipulate the content?);
- control of visual perspective and viewing angle (i.e. whether the imager uses a first person, third person, or combination of visual perspectives and what angle the imager takes for viewing the behaviour);
- behaviour agency (i.e. is the imaged behaviour associated with the self or another?); and
- image modalities (i.e. which senses are represented within the image, is it purely visual? are there concurrent sounds? does the imager 'feel' movements either concurrently with the visual image or independent of it?).

Furthermore, for many performers, practitioners and coaches, it is generally accepted that the practice of imagery is performed with the eyes closed while inhibiting overt movement. These actions directly compromise the shared circuit with physical performance; eye closing significantly increases alpha-band synchronization over the posterior cortex as visual information is reduced. Similarly, as a consequence of the motor inhibition associated with imagery, activity is seen in the posterior cerebellum. These neural profiles are not observed for the physical action. We have already tried to address some of these issues through the use of a mnemonic for effective mental practice usage - PETTLEP (Holmes & Collins, 2001).

However imagery is approached there will be some inherent problems, many of which cannot be addressed. The performer may be provided with extensive and detailed scripts in an attempt to direct



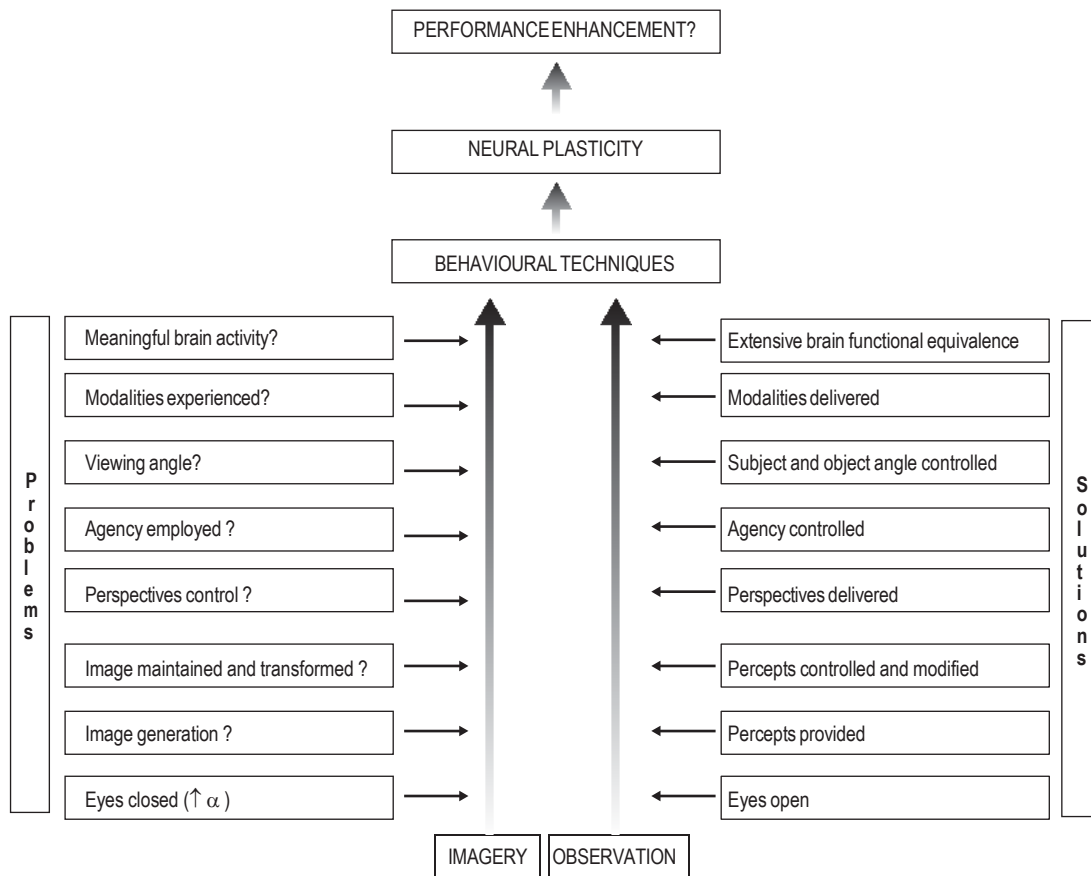


Fig. 16.1 • A schematic to represent the factors influencing the potential effectiveness of imagery and observation when used in the context of performance. From Holmes & Calmels 2008; Journal of Motor Behaviour 40(5): 441. Reprinted with permission of the Helen Dwight Reid Educational Foundation. Published by Heldref Publications, 1319 18th Street NW, Washington, DC 20036-1802, USA. Copyright © 2008.

the exact content of the image but the resultant image will always be unknown, even with comprehensive debriefs. Given this lack of control over image content, practitioners should look to more manageable interventions. Therefore, we aim to provide a neuroscientific approach to developing a working model for observation as a more valid intervention. With the modern technology available to performers and support teams, we propose that many of the concerns highlighted above can be addressed. In addition, we have also found some associated procedural benefits for observation interventions in comparison to imagery by adopting this approach; these include improved adherence to observation interventions, greater mental skills training time, improved imagery ability, and direct involvement in managing and progressing the content of the intervention.

## Observation as a mental practice intervention for performance

### A mechanism to support the observation process

The mechanisms that underlie the process of imagery are not fully understood; they are complicated further by the lack of control over the covert behaviours. However, the recent discovery of 'mirror neurons' has provided some evidence to begin to explain observation-based behaviours. Early work suggested that mirror neurons (MNs) may be capable of encoding not just the goal of the movement but also the



characteristics and meaning behind the movement; specifically action and intention understanding. MNs have also been suggested to have an important role in imitation. While there are limits to how much these neurons can explain, and with a number of functions still limited to the primate brain, their discovery does provide an insight into a mechanism by which humans may communicate their actions, desires and feelings.

MNs were first discovered in the ventral premotor cortex of the macaque monkey with single neuron recordings (e.g. Di Pellegrino et al 1992, Rizzolatti et al 1988). These visuomotor neurons showed special characteristics. They fired when the monkey executed a goal-directed hand movement and also when it observed the same action executed by another monkey or by a human. Some evidence for the existence of similar neurons in humans has been provided by neurophysiological (i.e. electroencephalographic and magnetoencephalographic techniques) and brain imaging studies, which suggests that MNs may contribute to a shared circuit or observation – execution matching system (Calmels et al 2006a, b). This system, also known as the motor resonance system or mirror neuron system (MNS), supports the proposal that perception of an action activates a brain representation similar but not identical to that used to perform the action, in effect, a ‘shared’ neural circuit (Grèzes et al 2003).

### Characteristics of the MNS in humans

In humans, the MNS shows differential activation depending upon the forms of observed motor behaviours. For example, viewing a grasping action performed by another human activates the MNS more than viewing the same action performed by a non-biological, robotic model. Further, the MNS shows less activity during observation of objectless actions, where movements are mimicked, compared to the identical movement that includes the object of interest. Therefore, the MNS would be expected to be more active while viewing a thumb and finger grasp of a golf ball than the replica movement without the golf ball present; an important practical consideration for demonstrators. In a similar way, observation of non-object-related actions, so-called intransitive actions, also activates the MNS.

The MNS is only minimally active when the observed action is impossible for the observer to perform and, similarly, only limited activation is seen in the MNS when the observed movements do not

belong to the observer’s motor repertoire. For example, strong activation of premotor cortex, parietal cortex and superior temporal sulcus (a shallow cleft at the top of the temporal area) has been recorded in the brains of experienced dancers when observing dance movements they possess the motor capabilities to perform. This brain activity contrasts with that recorded in the same dancers observing dance movements not previously performed before, even though the movements are visually familiar to them (Calvo-Merino et al 2006). The moderating influences of motor familiarity and task expertise interaction on the involvement of the MNS are of obvious importance to the development of the applied use of observation. They will be discussed in more detail throughout the chapter.

### Functions of the MNS in humans

The mirror system has been linked to four main functional roles in humans: action and intention understanding, imitation and empathy (Rizzolatti 2005). Simulation theorists would suggest that the involvement of the motor system is a necessary requirement to understand fully an observed action; the perception of an action without the involvement of the motor system only providing a superficial description of the action and not allowing for its thorough comprehension. Intention understanding (i.e. why an individual is performing a particular action) has also been linked to activation of the MNS. The intention of a movement should not be confused with the movement goal. For example, one could observe a tennis player who makes a movement to pick up a ball from the court; the movement *goal*. However, the *intention* of the movement is not known at this stage; it may be to use that specific ball for the next serve, reject it or put it in a pocket for a possible second serve. Observing actions in different contexts will allow the observer to infer intentions for these actions, a concept not dissimilar to variable practice. We will discuss observation context in later sections.

MNS activation is also implied during motor imitation. Imitation of an action that belongs to the motor repertoire of the observer includes activity in the neural circuitry of superior temporal sulcus and frontal and parietal mirror areas (Iacoboni 2005). In contrast, imitation of a novel action activates the same neural circuitry and, additionally, Brodmann’s area 46, an area suggested to be associated with the selection of appropriate motor acts (Buccino et al 2004).





Finally, the MNS seems to be closely associated with human empathy, the capacity to feel and understand the same emotional states experienced by another. It is well known that observing a person laughing or crying can generate a similar emotional state in the observer. In such observation situations, neural activity is present in the insula and limbic system of the observer in a similar way to actually experiencing the real emotional behaviour. Since human performance is frequently associated with emotive behaviours and environments, for example, winning and losing in front of large crowds of spectators, understanding how observation interventions can access and share neural circuitry linked to these emotive contexts to generate empathy more optimally would seem beneficial.

## Factors that impact on observation

The following section will consider some of the task and observation factors and individual characteristics that can be identified from the neuroscience research that impact directly on observation modulation. These include:

- task type (form-based tasks and perceptually driven tasks) and general observation factors
- internally generated and externally triggered tasks
- specular and anatomical imitation
- live and video observation
- still and temporally altered motion
- pre-observation instructions
- observation context
- observer motor expertise; and
- observer gender.

### Task type (form-based tasks and perceptually driven tasks) and general observation factors

In closed skill, form-based tasks, movement aesthetics and quality are important for successful performance (e.g. dance, gymnastics and diving). Performing these kinds of task requires a precise body segment organization. For example, gymnasts who do not reproduce an exact form of their routine have penalties imposed on their score by the judging panel. This form of task contrasts with more open, perceptually driven tasks (e.g. tennis, soccer or wrestling). For example, a tennis player will be judged on her ability to win points against an opponent and not on the form or aesthetic

quality of her shot in doing so. Therefore, while it is useful to have a model for form-based tasks, and it is easy to see how observation-based interventions can provide the relevant information, perhaps it would also be useful to observe a model for perceptually driven tasks.

The imagery literature on this issue has identified that performance of closed skills may benefit more from imagery because of the predictability of the movement patterns without direct, less controllable opposition. The imagery research also proposes that task type may direct the choice of visual perspective. Adopting a third-person visual perspective, as if seeing oneself on television (the optimal viewing angle for this perspective has not been specified, and is also likely to be task-specific) has been shown to enhance performance of form-based tasks in novice athletes, probably because of the additional relative visual cues available. This contrasts with more perceptual tasks, where a first-person visual perspective (as if looking through one's own eyes) seems to be more beneficial, possibly because of the kinesthesia associated with this visual perspective for these tasks. Research is ongoing to explore further these task-perspective distinctions. For example, there seems to be a task-visual perspective/modality-motor capability interaction for imagery effectiveness. Once motoric ability for a closed-skill, form-based task has been acquired, performers are able to use third-person perspective visual imagery and kinesthesia to enhance future performance.

These findings are important and should direct the development of observation interventions. For form-based, closed skills the observed movements will, without any manipulation, provide a third-person visual perspective (e.g. viewing oneself or another performing via DVD). To extend the perspective issue, the observation intervention should provide multiple angles of the behaviour of interest. Where the observer has motoric capability, the instructions for observation should include reference to kinesthetic experiences during the viewing. For tasks that rely on perceptual information the process is more complex and requires us to expand on some of the terminology used in this field.

Three separate image characteristics have tended to be compounded: image perspective, image agency and image modality. There is now considerable evidence that these characteristics show different and separate patterns of cortical and subcortical activity both within and across variables. For example, in imagery conditions, employing a different visual



perspective involves different parts of the cortex: the right inferior parietal, precuneus (posteromedial portion of the parietal lobe) and somatosensory cortex. These areas have been found to distinguish self-produced actions from those generated by others. The language used in the sport psychology literature does not help the issue: ‘internal’ imagery, for example, is typically a combination of a first-person visual perspective and a kinesthetic modality. It is also used synonymously for just first-person visual imagery, kinesthetic imagery and motor imagery in some literature. The ambiguity raises obvious concerns for the user and, in the case of the former definition, assumes imagery ability in two modalities. However, if the performer is less skilled in kinesthetic imagery and is instructed to use this ‘internal’ approach, the content of the imagery will only be visual and, therefore, hypothetically, sharing a limited circuitry with motor areas. While perspective, agency and modality factors may be related and share some neural properties, they are not the same. Their conflation can complicate the delivery of imagery for the practitioner and confuse the recipient about what s/he is required to image. Observation, therefore, may be better positioned to support perceptual tasks. Control of visual perspective, behaviour agency and modality should be effective, since observation interventions overcome the language ambiguity that currently exists in imagery. The ability to (re)create and control variable practice environments through edited filming can also address the need to provide multiple training environments for performers.

### **Internally generated movement versus externally triggered movements**

In the neuroscience literature, it has been shown that internally generated movements (i.e. movements that can be initiated by a performer) do not involve the same cortical pathways as externally triggered movements (i.e. movements that can be imposed on a performer by some external agent). The findings of van Donkelaar et al (1999) suggest that the former engages the ganglio-thalamocortical pathway whereas the second engages the cerebello-thalamocortical pathway.

This point is of interest because in performance both movement types exist. For example, reacting to a gun to start a race or performing to a tightly choreographed piece of music contrast with self-selected movements. In imagery interventions, however, it could be argued that both types of movement

become internally generated through the more reflective and conscious process. Again, observation may offer a more ecologically valid environment to address these tasks. However, the observed actions need to access the shared neuronal circuitry for the task for the intervention to be valid. Often, in anticipation – coincidence tasks, coaches and educators use internally generated movements to teach athletes externally generated movements; this is true for the physical execution condition as well as the observation condition. For example, in baseball practice, a novice performer may execute and observe internally generated movement towards a motionless ball and also movements without the ball before progressing to perform dissimilar, externally generated movements linked to a moving ball. Pedagogically, this progression may seem to be full of common sense and practical for the instructor. However, the cortical activation associated with the procedure does not concur. Although movements made without the inclusion of the object of interest seem identical, they actually require the involvement of quite different neuronal populations. Therefore, the transfer of learning from one movement style to another may not be systematic and may explain some of the performance decrements at transition phases. (This same point is well made, albeit from a different perspective, in Ch. 14.)

### **Specular imitation versus anatomical imitation**

In the context of performance, imitation refers to ‘a copying of the feature of the bodily movement of a model by an observer’ (Heyes 2001, p. 254). This activity represents a fundamental part of human behaviour, is used to acquire new skills and is part of social interaction with other individuals. It has also been identified as one of the key roles of the MNS. Imitation can take different forms and two are particularly relevant for this chapter: specular imitation and anatomical imitation. Specular imitation describes a situation where the observational behaviour occurs as if looking into a mirror. For example, when the model moves the left hand, the observer moves the right hand. In contrast, anatomical imitation describes a state where the imitated movement is reproduced in the same hand as the observed model: when the observed model moves the left hand, the observer moves the left hand. Both could be adopted using a third- or first-person visual perspective.





Specular imitation may be considered a more natural behaviour than anatomical imitation and there is evidence that specular responses predominate over non-specular responses until about 10 years of age (Wapner & Cirillo 1968).

The contralateral action associated with specular observation may predominate to reduce the image rotation ability required to anatomically match the observed action. MN research has also revealed that specular imitation activates the mirror neuron system more than anatomical imitation (Koski et al 2003) and this could explain the greater frequency with which individuals imitate others' behaviours and actions in a specular way.

In applied observation settings, coaches and educators should be aware of these imitation processes. For example, when artistic gymnasts are involved in a choreographic session, often the gymnasts will be facing a large mirror displaying not only their movements but also most of the environment in which they are performing. Instructors can either face the observers or face the mirror; thereby providing both specular and anatomical perspectives. Simultaneously, gymnasts can observe themselves in the mirror with a specular configuration.

MNS activation has also been shown to be related to the physical position of the person being observed (Kilner et al 2006). When the observed person faces towards the observer, the MNS is actively involved. The authors observed, in the parietal area of the brain, a reduction in the alpha band activity during action observation. This reduction was also seen during the execution of the same action. In contrast, however, when the observed person faced away from the observer, no electroencephalographic attenuation was detected. While some of this activity may be a consequence of the facial interaction, it may be that specular observation is more effective at eliciting similar activity in the MNS.

### **Live observation versus video-based observation**

In this section, we will discuss the use of live and video-based observations. We will also include discussion relating to observation perspectives and agency, since we believe the concepts are linked. A question that could legitimately be posed is whether the observation of videotapes or live performance benefits the performer more. The answer is not easy, as performance depends on many factors. However, what is known is that stronger primary motor cortex

activation has been detected during live hand movements compared to viewing of video and that this higher activation may be due to the greater ecological validity and contextual meaning of live movements (Jarvelainen et al 2001).

In applied settings, coaches and educators frequently invite their performers to observe teammates performing live motor skills, normally to facilitate the learning or execution of motor performance. Similarly, performers spontaneously observe their teammates during training sessions, mainly to improve their own performance. We have found that elite young female gymnasts observe peers mainly to increase engagement and activation and to improve performance of technical execution by detecting and correcting technical errors performed by others.

Video sequences taken during training sessions or competitions can also be presented to individuals. Performers can observe their own behaviours and actions or teammates' performances. Just as for imagery, these records of performance can be used for many purposes: instant review, pre-performance preview and stimulated recall are just a few. Therefore, coaches and educators may find the use of video sequences more beneficial and practical than live performance. Video permits an unlimited number of viewings of edited, successful performances and allows for a decreased physical training load. The visual content can also be controlled by the coach, in terms of perspective, agency and other modalities, to a far greater extent than for imagery interventions.

Observation of live actions is frequently about actions performed by others. Observation agency through video, however, can include actions performed by oneself or by others. Agency can be differentiated by different neural correlates. Knoblich & Flach (2001) have found behavioural differences between the observation of one's own performance and the observation of others' performance. They presented participants with videos of dart-throwing actions that they had previously performed. They were also shown the same action performed by others. Unsurprisingly, the results indicated that prediction of accuracy of the observed actions was greater when participants observed their own actions. According to the shared circuit theory, observation of self-generated actions may be more informative because of the functional similarity of the neural activity to that during motor execution.

As with agency, different observational perspectives also show different neurological profiles. Using a technique known as transcranial magnetic



stimulation to modulate neural activity, researchers have shown that action observation enhances corticospinal excitability; the motor evoked potential observed in a muscle associated with the observed movement is of greater amplitude. Observation of a hand movement increased functional motor output and the degree of motor evoked potential modulation was maximal when the observed action was presented from a first-person perspective. It is also known that the extrastriate body area, an area that responds to the visual appearance of the human body, distinguishes an egocentric view of the self and other people from an allocentric, third-person visual perspective; extrastriate body area activity has been found to increase significantly for allocentric relative to egocentric views in the right hemisphere.

### Still and temporally altered motion

As video sequences progress, the images can be slowed, sped up or paused to allow observation of slow or temporally altered actions. While temporally incongruent observation challenges the shared circuit theory, which has both spatial and temporal characteristics, observation of still action shots should not be ruled out completely. There is strong evidence that observation of photographs and still video frames that imply motion can also activate cortical MNS areas involved in the analysis of movement sequences (Kourtzi & Kanwisher 2000). While we are aware that slow-motion video is frequently used in performance contexts, especially for technique analysis, its neural benefit remains untested. Similarly, increasing the speed of the viewed image has been proposed as a possible observation intervention to improve performance. With the technology now available to support such interventions, well designed studies would be welcome in this area.

### Instructions provided prior to the observation process

The observational neural profile is also sensitive to the instructions that are provided prior to the observation process. Participants can be invited to observe a movement with the purpose of later imitation, of recognizing it or with no specific goal. Each of these instructional sets has been shown to significantly change the observational neural profile. For example, Decety et al (1997) showed that the dorsolateral prefrontal cortex and the presupplementary motor area were activated when participants were provided

with instructions to observe a movement with a later requirement to imitate it. In contrast, the right parahippocampal gyrus was activated in a situation where there was a requirement to recognize the movement after its observation. Coaches and educators should be aware that clear, unambiguous instructions relating to the observation content may be important to optimize the theoretical neural equivalence with the executed profile. When we work with performers, we are very specific in asking them to observe to understand the actions, the goals of the actions, the intentions of the model and, where appropriate, to imitate the action or to empathize with the behaviour.

### The observation context

Neuroscience is not purely cognitive. Where human performers are acting as agents of behaviour, the social impact will, inevitably, lead to affective reactions. Therefore, the MNS, in contextually relevant situations, is likely to also include cognitive and emotional neural systems.

An action, performed in different psychosocial contexts, can have very different meanings and intentions to the same observer. Similarly, two observers may have quite different neural responses to the same observed action.

MN areas in the inferior frontal cortex are activated more strongly when individuals observe an action embedded in a particular context compared to observation of the same action in a non-contextual environment. Neuroscience studies are beginning to explore the influence of context in observation. For example, grasping hand actions, seen in different contexts, allow an observer to infer different intentions for the actions. A grasping hand action associated with a cup embedded in a 'before tea' context and an 'after tea' context provides the observer with a different intentional understanding: to drink the contents of the cup or tidy away the cup from the table (Iacoboni et al 2005). Therefore, it will be important to develop observation interventions that have contextual meaning for the observer and actions may need to be embedded within performance contexts to increase shared circuit activity.

Contextual congruence may also need to be considered alongside the observation viewing environment; recent evidence from the imagery research suggests that this may be true. While the viewed action offers contextual relevance, it is possible that the neural response will be influenced by the context in which the observation is performed. For example,



Chatterton et al (2008) have found evidence for greater action and intention understanding, empathy and sensorimotor engagement to observation performed in training environments compared to home environments. While interesting, these findings are still at the case study stage and will require more detailed empirical research to develop the observation context debate. To be effective, observation interventions cannot just provide action viewing; they must activate social, cognitive, affective neural systems. Understanding and developing performance context will be essential to the effectiveness of the intervention.

### Observer motor expertise

Using models whose motor skill expertise is similar to that of the observer has been recommended to promote more optimal motor representation access. More specifically, Calvo-Merino et al (2005) have shown stronger activation of the MNS for expert classical ballet dancers compared to novice dancers when observing professional classical dance movements. They also recorded stronger activation of the MNS when the expert ballet dancers viewed movements for which they had been trained compared to movements they had not (e.g. classical ballet vs capoeira). The modulation of the MNS as a result of motor competence may, therefore, be closely associated with visual and motor familiarity of the action. The same research group studied male and female expert classical dancers who had trained together for the same period of time, suggesting that they possessed a similar visual familiarity with the ballet moves. However, some of the moves were specific to one sex and had, therefore, not been physically trained by the other gender group. Activity in the MNS was increased only for observation of gender-specific ballet moves in contrast to familiar, but untrained ballet moves. To be optimally effective therefore, an observation session requires the observer to possess a visual and motor familiarity with the observed action; just because a coach shows a video of a double back somersault to a novice gymnast several times does not mean that the gymnast will then be able to use the (audio) visual information concerning the movement's goals and intentions to be able to perform it! The gymnast will require some motor capabilities to integrate with the visual percepts from the video. Observation should, therefore, be considered carefully alongside the maturational progression of the performer and introduced as their

motor capabilities develop. Just as with some of the imagery research, there is evidence that only skilled performers are able to use the third-person visual perspective information to support concurrent kinesthetic proprioception. The sensorimotor integration of the MNS requires physical capability acquired through physical experiences for observation to be most effective in accessing a more elaborate shared circuitry. Conducting some form of observational skill analysis prior to employing observation as an intervention is certainly recommended. Imagery ability questionnaires are regularly used to assess imaging skill; the same should be done for observation.

### Observer gender

Until recently, observer gender was not considered as a moderating variable of the neural profile. Recently, however, it has been shown that the MNS is sensitive to sex differences (Cheng et al 2006). There is evidence that female observers show a stronger MNS activation in the right primary motor cortex than their male counterparts when viewing sensorimotor scenes. This finding has been interpreted as a marker of greater empathy by females. The research has not been conducted in sporting or performance contexts and may, therefore, be of limited applied value at this stage.

## Applied perspectives

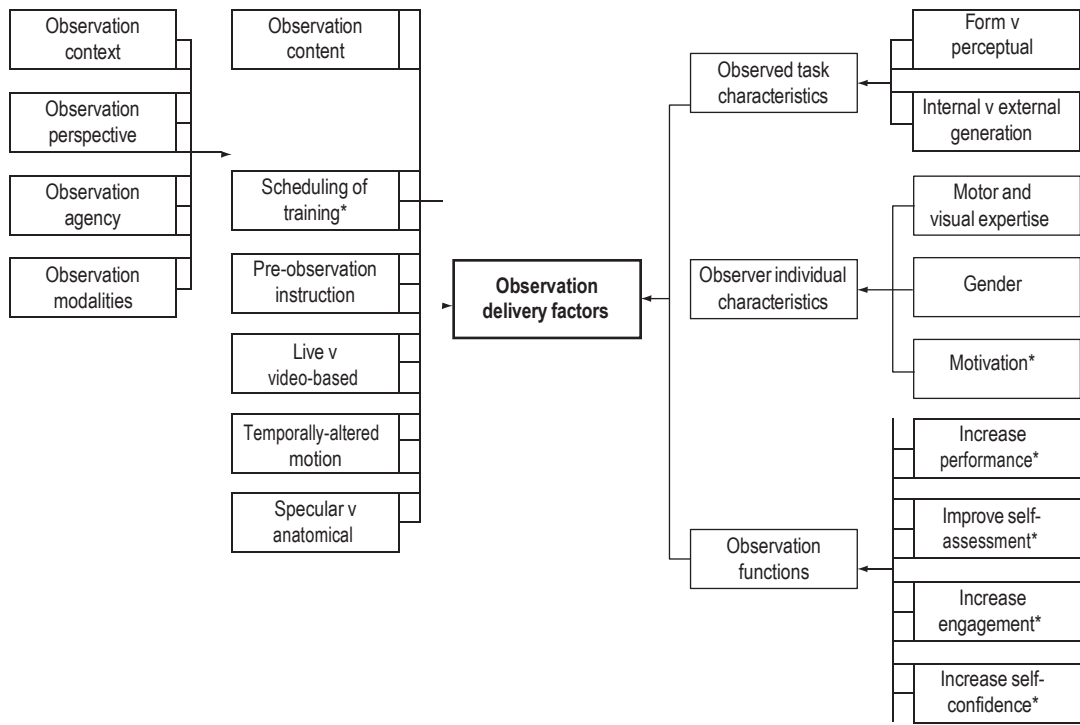
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Coaches or educators planning to use observation as a mental practice intervention should be aware that a number of factors can have an impact on observation effectiveness. Figure 16.2 displays a list of primary components to help coaches and educators when devising observation sessions. Support staff should make clear choices in the delivery of the observation factors. The choices will be dictated by the observed task characteristics, the individual observer characteristics and the functions of the observation intervention. Components of tasks and individual characteristics, except motivation, will not be developed here since they have been discussed previously.

Coaches or educators should consider the motivation of their athletes to adhere to observation interventions; athletes can observe and remember a modelled skill, they can have the physical abilities to perform that skill but, if they are not motivated, observation sessions will not be effective.

Understanding the functions of observation is also important when devising observation sessions since it helps the coaches or psychologist define appropriate





\* Factors identified by asterisk are not included within the text as they cannot be supported by the neuroscientific research at present

Fig. 16.2 • Schematic representation of the factors impacting on observation effectiveness. Factors identified by asterisks are not included within the text as they cannot be supported by the neuroscientific research at present.

content. There is little research that has focused on observation function. To our knowledge, only Cumming et al (2005), Hars & Calmels (2007), and Gallin-Martel et al (2007) have examined the reasons why athletes observe models. However, only Hars & Calmels (2007), and Gallin-Martel et al (2007) showed that the content of observation is linked clearly to its function. For example, observation of one portion of a complex gymnastics movement and only one part of the body resulted in the performers detecting and correcting technical errors. Whereas, when the same gymnasts observed the full movement but again for only one body part, they used the observation to check their self-assessment against the analysis of the coach. The same kind of relationship has also been highlighted by Fournier et al (2008) for mental imagery. Therefore, coaches should consider the observation function when using the technique. For example, in a form-based task, such as a floor routine in gymnastics, the observation function could be to increase performance or

to increase engagement in the task. The observation content, the pre-observation instructions and the (temporally altered) motion are, therefore, likely to be different. If the observation function is to increase performance, coaches could choose a third-person visual perspective, invite the performer to observe their own behaviour at a slow rate with paused images followed by a normal rate and then ask the performer to focus their attention on a particular point of the movement. If the observation function is to increase engagement, the choices may be different. The visual perspective could still be third-person; however, the observed model may be a respected other and the video could be observed at a normal rate. Coaches should use Figure 16.2 as a reminder and individualize the observation sessions for each performer just as they would for imagery interventions.

If mental practice techniques propose to offer, at best, degraded versions of physical practice, there will always be reduced neural afference to



the sensorimotor network. Therefore, one could legitimately propose that training time may be spent more effectively in physically training. In an ideal performance world, the answer is probably 'Yes, it could'. However, the world is not ideal and the mental practice techniques described here allow the performer to continue to reinforce shared circuits outside of physical practice conditions. A number of advantages are proposed. First, using observation within a learning framework to support more traditional physical training sessions could be a useful addition to the holistic training environment. Training supported in this way can remain positive and reduce physical training loads, fatigue and, potentially, injury. Second, observing third-person perspective parts of team plays, such as offensive and defensive strategies or exchange of actions between two opponents, allows athletes to anticipate the actions of others (i.e. opponents or partners) more effectively than just first-person physical training for the skill. If the MNS is concerned fundamentally with movement prediction, then where and how others move has obvious relevance for sporting interactions. Understanding others' actions in terms of movement kinematics allows performers to make predictions about their behaviour goals; performers can infer the intentions behind movements and judge whether movements are intended or not. In these cases, the mirror neuron system could be depicted as the neural substrate of our capacity to understand an action, an intention or the state of mind of others. Finally, in sports rehabilitation, athletes may recover more quickly after viewing diverse and repeated sport video sequences. Observation of relevant performance sequences could allow cortical structural changes, reorganization and reinforcement in the motor architecture to support more physically based therapies. These ideas remain to be tested empirically in sporting contexts.

## Conclusion

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It is our opinion that observation may offer a more valid mental practice technique than more traditional covert interventions. More specifically, the modifiers of the neural activity during imagery are better

controlled through an observation process than through imagery. The MNS offers a theoretical mechanism, and the reviewed research provides some evidence, to support the application of observation-based interventions in sport and performance environments. We propose that observation affords both practitioners and researchers greater control over some of the fundamental characteristics to optimize the abstract concept of shared neural circuitry with overt behaviour. Imagery also has the potential to achieve this outcome, but with less assurance that it will be conducted in the prescribed way by the athlete.

Generating an image is no longer a problem since the visual percepts are provided by the information displayed, typically through individualized DVDs, and observed with the eyes open. Observation of still photographic action shots can also generate functional neural activity, since the percepts imply motion. Video observation controls for the imagery ability factors of clarity, vividness and image management (maintenance and rate of exposure) because they are manipulated through the filming and editing processes. Image transformations and rotations are also more effectively managed through dynamic use of camera angles. Observation, provided through digital video, is able to offer the viewer every conceivable viewing angle from either first- or third-person visual perspective and avoids the need for the performer to transform or rotate a transient image. The major benefit, however, is the 'shared' observational image that really differentiates observation from imagery; the researcher or practitioner no longer has to accept the debriefed account of the process from the imager.

There are likely to be further factors that influence neural activity during observation and imagery. Because of the limited or equivocal neuroscientific research findings, we have not considered individual differences in: age; amount and duration of observation dosage; intervention adherence strategies; motivation to undertake the intervention; outcomes goals; external encouragement; and many other psychosocial factors. As brain imaging techniques become more readily available, cognitive and social neuroscientists will provide answers to many of these issues; the future for sport and performance psychology looks exciting!





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